

UNIVERSITY *of York*

# NeuroEvolution: The Importance of Transfer Function Evolution and Heterogeneous Networks

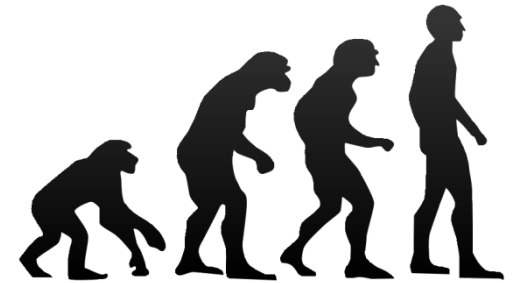
Andrew James Turner & Julian Francis Miller

[andrew.turner@york.ac.uk](mailto:andrew.turner@york.ac.uk), [julian.miller@york.ac.uk](mailto:julian.miller@york.ac.uk)

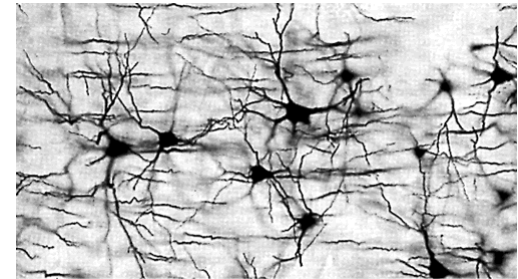


# NeuroEvolution: Application of Evolutionary Algorithms to Artificial Neural Networks

- Advantages
  - No restraint on topology
  - Can escape local optima
  - Applicable to reinforcement learning
  - **No restraint on transfer functions**



+



## Main Topic

- The majority of NeuroEvolutionary methods create **homogeneous** networks.
- However NeuroEvolution can easily create **heterogeneous** networks.
- But do **heterogeneous** networks provide any benefit for NeuroEvolution?

## **There are two methods for evolving heterogeneous networks:**

- 1) Allow evolution to select each neuron's transfer function from a predetermined set
- 2) Allow evolution to optimise parameters associated with each neuron's transfer function

(or a mixture of the two)

## Key Questions

- 1) Does the choice of transfer function impact the training of homogeneous networks?
- 2) Does allowing evolution to select each neuron's transfer function produce better results than the homogeneous networks?
- 3) Does allowing evolution to optimise parameters associated with each neuron's transfer function produce better results than their non-parameterised counterparts?

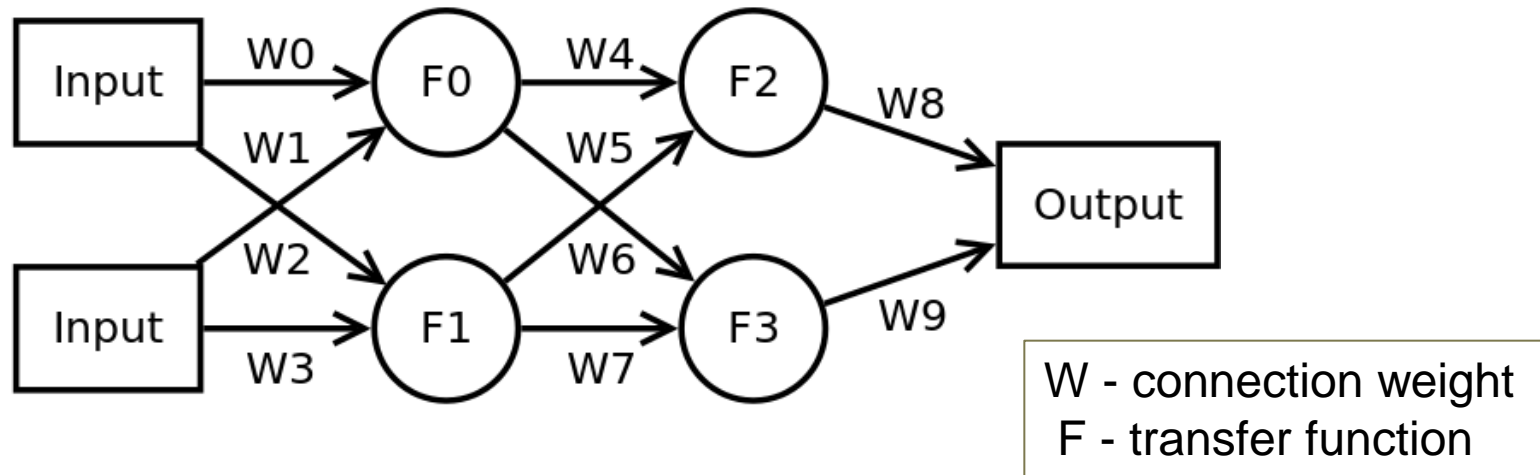
## Conventional NeuroEvolution

- Simplest and oldest (1990's)
- Based on a Genetic Algorithm
- Evolves connection weights
- Fixed user defined topology

## Cartesian Genetic Programming Artificial Neural Networks

- More complex and modern (2013)
- Based on Cartesian Genetic Programming
- Evolves connections weights
- Evolves topology (feed-forward and recurrent)

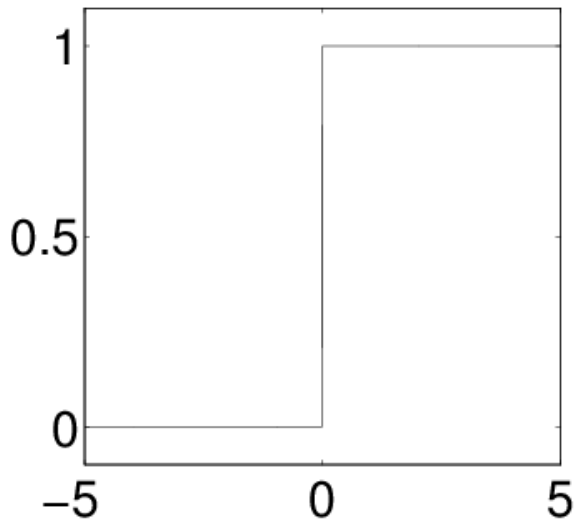
- For a given topology:



- Each chromosome takes the form:

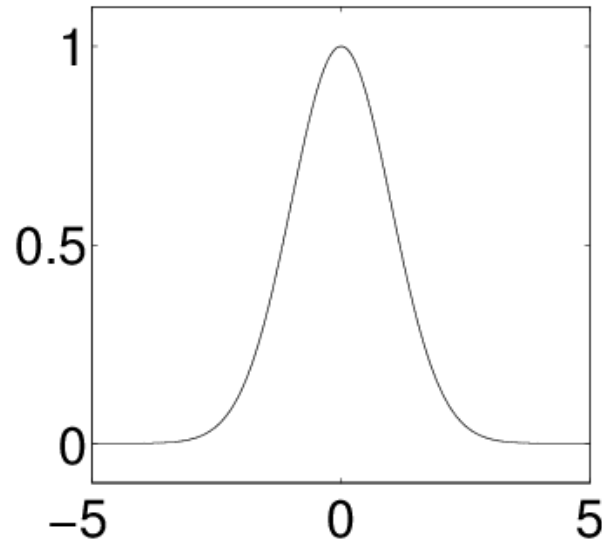
$\{W1, W2, W3, W4, W5, W6, W7, W8, W9\}$   
+  $\{F0, F1, F2, F3\}$

Heaviside Step



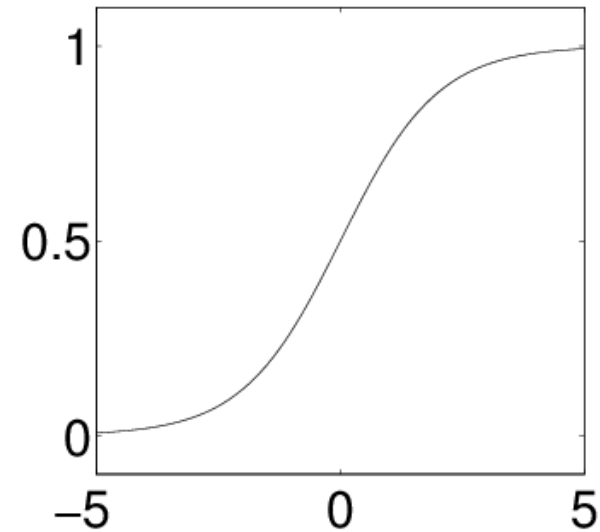
$$f(x) = \begin{cases} 1, & \text{if } x \geq 0 \\ 0, & \text{otherwise} \end{cases}$$

Gaussian



$$f(x) = \exp\left(-\frac{x^2}{2\sigma^2}\right)$$

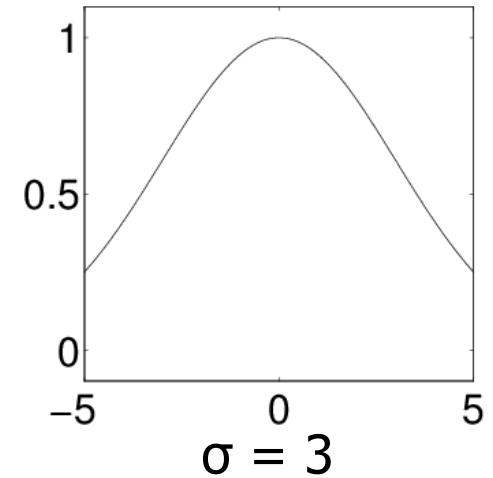
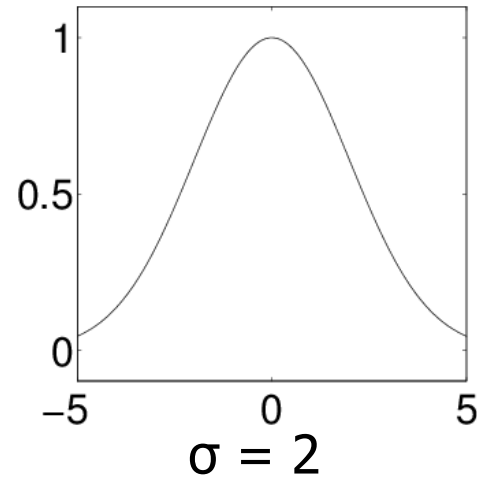
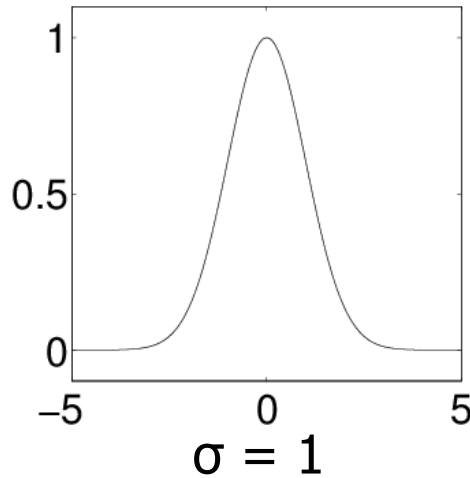
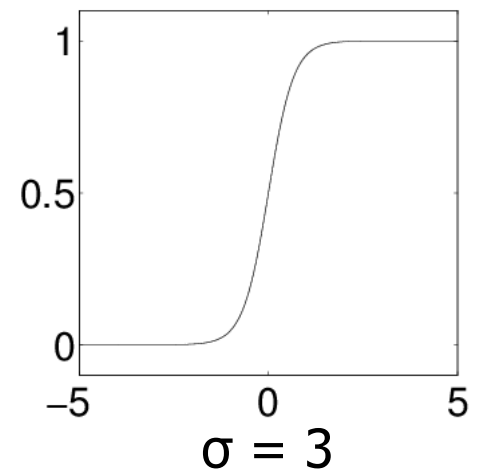
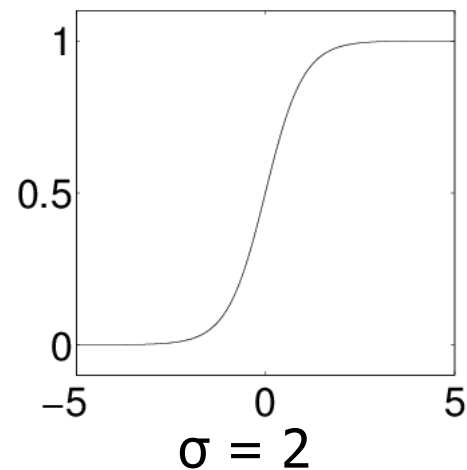
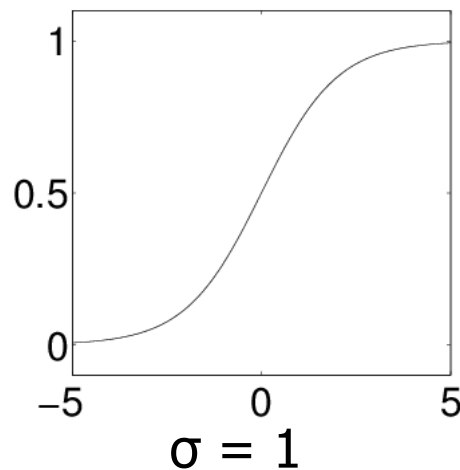
Logistic



$$f(x) = \frac{1}{1 + \exp(-\sigma x)}$$

$$\sigma = 1$$



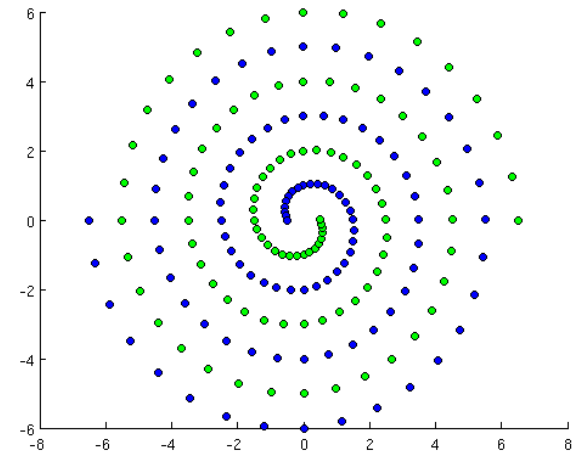
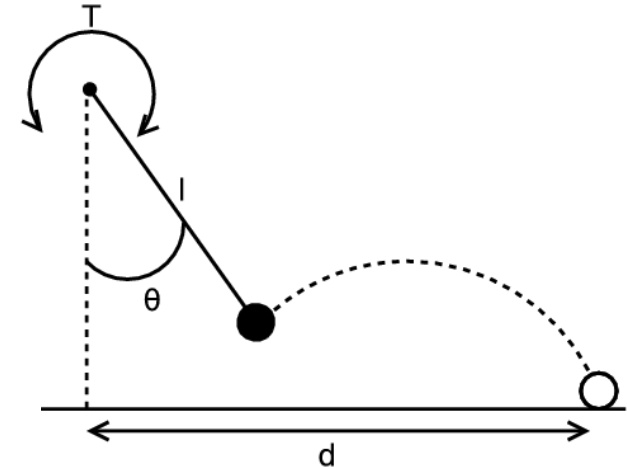
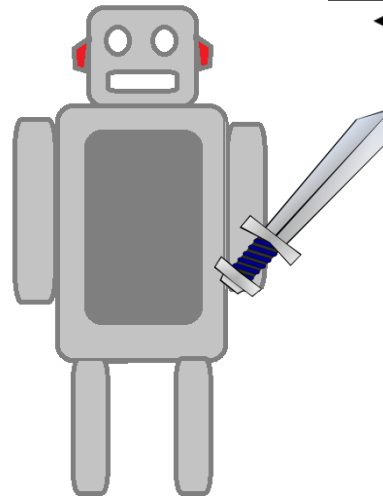
**Gaussian****Logistic**

## Reinforcement Learning

- Ball Throwing

## Supervised Learning

- Full Adder
- Monks Problem 1
- Two Spirals
- Proben1: Cancer1



## General Parameters

- (1+4)-ES
- 3% probabilistic mutation
- No Crossover
- Connection weight range +/- 5
- 1000 generations (4001 evaluations)
- Average fitness from 50 runs



## Conventional NeuroEvolution

- 3 fully connected hidden layers containing 10 nodes

## Cartesian Genetic Programming Artificial Neural Networks

- Maximum of 30 nodes each with a maximum arity of 10

- Does the choice of transfer function impact the training of homogeneous networks?

Benchmark	Target	Step	Gaussian	Logistic
Ball Throwing	(↑) 9.50	5.63	<b>6.41</b>	5.57
Full Adder	(↑) 16.00	<b>16.00</b>	15.92	15.86
Monks (train)	(↓) 0.00	<b>9.82</b>	27.65	11.03
Monks (test)	(↓) 0.00	27.98	43.16	<b>25.87</b>
Two Spirals	(↓) 0	70.00	<b>56.54</b>	81.52
Cancer1 (train)	(↓) 0.00	10.50	5.44	<b>3.35</b>
Cancer1 (test)	(↓) 0.00	14.44	7.49	<b>3.54</b>

Conventional NeuroEvolution

- Does the choice of transfer function impact the training of homogeneous networks?

Benchmark	Target	Step	Gaussian	Logistic
Ball Throwing	(↑) 9.50	<b>9.34</b>	7.34	5.80
Full Adder	(↑) 16.00	<b>15.94</b>	15.40	15.78
Monks (train)	(↓) 0.00	<b>10.71</b>	15.27	12.72
Monks (test)	(↓) 0.00	<b>13.44</b>	21.93	18.79
Two Spirals	(↓) 0	67.42	<b>66.36</b>	80.64
Cancer1 (train)	(↓) 0.00	<b>2.16</b>	2.55	2.50
Cancer1 (test)	(↓) 0.00	2.71	2.74	<b>2.09</b>

Cartesian Genetic Programming Artificial Neural Networks

## Results

- The choice of neuron transfer function clearly effects homogeneous networks
- Different transfer functions are suited to different tasks
- It is not known in advance which transfer function will most suitable
- The Heaviside step function did surprisingly well.

- Does allowing evolution to select each neuron's transfer function produce better results than the homogeneous networks?

Benchmark	Target	Average Homogeneous	Heterogeneous Networks
Ball Throwing	(↑) 9.50	5.87	<b>8.83</b>
Full Adder	(↑) 16.00	15.93	<b>16.00</b>
Monks (train)	(↓) 0.00	<b>16.17</b>	16.87
Monks (test)	(↓) 0.00	<b>32.34</b>	33.69
Two Spirals	(↓) 0	96.35	<b>63.46</b>
Cancer1 (train)	(↓) 0.00	6.43	<b>3.87</b>
Cancer1 (test)	(↓) 0.00	8.49	<b>5.16</b>

Conventional NeuroEvolution

- Does allowing evolution to select each neuron's transfer function produce better results than the homogeneous networks?

Benchmark	Target	Average Homogeneous	Heterogeneous Networks
Ball Throwing	(↑) 9.50	7.49	<b>8.90</b>
Full Adder	(↑) 16.00	<b>15.71</b>	15.68
Monks (train)	(↓) 0.00	12.90	<b>11.02</b>
Monks (test)	(↓) 0.00	18.05	<b>16.72</b>
Two Spirals	(↓) 0	71.47	<b>70.24</b>
Cancer1 (train)	(↓) 0.00	2.40	<b>2.33</b>
Cancer1 (test)	(↓) 0.00	<b>2.51</b>	2.69

Cartesian Genetic Programming Artificial Neural Networks



## Results

- Heterogeneous networks outperformed the average homogeneous network
- Therefore, if the optimal transfer function is not known, evolving heterogeneous networks produces better results on average than a random choice of transfer function

- Does allowing evolution to optimise parameters associated with each neuron's transfer function produce better results than their non-parameterised counterparts?

Benchmark	Target	Regular Gaussian	Parameterised Gaussian
Ball Throwing	(↑) 9.50	6.41	<b>8.15</b>
Full Adder	(↑) 16.00	15.92	<b>15.96</b>
Monks (train)	(↓) 0.00	27.65	<b>26.24</b>
Monks (test)	(↓) 0.00	43.16	<b>41.99</b>
Two Spirals	(↓) 0	<b>56.54</b>	66.26
Cancer1 (train)	(↓) 0.00	5.44	<b>3.09</b>
Cancer1 (test)	(↓) 0.00	7.49	<b>3.53</b>

Conventional NeuroEvolution

- Does allowing evolution to optimise parameters associated with each neuron's transfer function produce better results than their non-parameterised counterparts?

Benchmark	Target	Regular Logistic	Parameterised Logistic
Ball Throwing	(↑) 9.50	5.57	<b>6.21</b>
Full Adder	(↑) 16.00	15.86	<b>16.00</b>
Monks (train)	(↓) 0.00	11.03	<b>10.45</b>
Monks (test)	(↓) 0.00	<b>25.87</b>	27.00
Two Spirals	(↓) 0	81.52	<b>74.28</b>
Cancer1 (train)	(↓) 0.00	<b>3.35</b>	3.89
Cancer1 (test)	(↓) 0.00	<b>3.54</b>	4.79

Conventional NeuroEvolution

- Does allowing evolution to optimise parameters associated with each neuron's transfer function produce better results than their non-parameterised counterparts?

Benchmark	Target	Regular Gaussian	Parameterised Gaussian
Ball Throwing	(↑) 9.50	7.34	<b>7.62</b>
Full Adder	(↑) 16.00	15.40	<b>15.72</b>
Monks (train)	(↓) 0.00	15.27	<b>15.26</b>
Monks (test)	(↓) 0.00	21.93	<b>21.59</b>
Two Spirals	(↓) 0	<b>66.36</b>	69.50
Cancer1 (train)	(↓) 0.00	2.55	<b>2.48</b>
Cancer1 (test)	(↓) 0.00	2.74	<b>2.31</b>

Cartesian Genetic Programming Artificial Neural Networks

- Does allowing evolution to optimise parameters associated with each neuron's transfer function produce better results than their non-parameterised counterparts?

Benchmark	Target	Regular Logistic	Parameterised Logistic
Ball Throwing	(↑) 9.50	5.80	<b>7.82</b>
Full Adder	(↑) 16.00	<b>15.78</b>	15.74
Monks (train)	(↓) 0.00	12.72	<b>10.07</b>
Monks (test)	(↓) 0.00	18.79	<b>17.26</b>
Two Spirals	(↓) 0	80.64	<b>75.60</b>
Cancer1 (train)	(↓) 0.00	2.50	<b>2.42</b>
Cancer1 (test)	(↓) 0.00	<b>2.09</b>	2.28

Cartesian Genetic Programming Artificial Neural Networks

## Results

- Optimizing parameters associated with each neurons transfer function produces better results, on average, than their non-parameterised counterparts.

- NeuroEvolution can be used to create heterogeneous neural networks
- There are two, mutually inclusive, methods for allowing NeuroEvolution to create heterogeneous networks
- Both of these methods have been shown, on average, to outperform homogeneous networks
- Both of these methods are likely compatible with all NeuroEvolutionary techniques

\*All results were also analysed using the Mann-Whitney U-test and effect size statistics

## CGP-Library

- Cartesian Genetic Programming
- NeuroEvolution
- Simple & extendible
- Written in C
- Open source (LGPL)



<http://andrewjamesturner.co.uk/>

<https://github.com/AndrewJamesTurner/CGP-Library>



# Questions?

